



07-16-01

PTO/SB/05 (1/98)

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J1036 U.S. PTO  
09/904824



<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	M-8325-1P US
	First Named Inventor or Application Identifier	Horatio Lo
	Title	A Distributed Storage Management Platform Architecture
	Express Mail Label No.	EL 701 019 617 US

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>	<b>ADDRESS TO:</b> Commissioner for Patents Box Patent Application Washington, D C. 20231
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1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form - see page 2 of this form.</b> <small>(Submit an original, and a duplicate for fee processing)</small> 2. Application: <input checked="" type="checkbox"/> <b>Specification:</b> (preferred arrangement set forth below) Descriptive title of the Invention, Cross References to Related Applications, Reference to Microfiche Appendix, Background of the Invention, Brief Summary of the Invention, Brief Description of the Drawings, and Detailed Description (all totaling 102 pages) Appendix(ces) ___, ___, & ___ (___ pages) <input checked="" type="checkbox"/> <b>Claim(s)</b> <u>1</u> page <input checked="" type="checkbox"/> <b>Abstract of the Disclosure</b> <u>1</u> page 3. <input checked="" type="checkbox"/> <b>Drawing(s)</b> (35 USC 113) [Total Sheets 9] 4. <b>Oath or Declaration</b> <input checked="" type="checkbox"/> <b>unsigned</b> [Total Pages 3] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 17 completed) c. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 5. <input type="checkbox"/> <b>Incorporation By Reference</b> (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	6. <input type="checkbox"/> Microfiche Computer Program Appendix consisting of ___ pages of microfiche containing ___ frames on each page in accompanying envelope. 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies  <table border="1"> <tr> <td align="center" colspan="2"><b>ACCOMPANYING APPLICATION PARTS</b></td> </tr> <tr> <td>8. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents) ___ pages</td> <td></td> </tr> <tr> <td>9. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney                      (combined when there is an with Patent Declaration                      Assignee) above.)</td> <td></td> </tr> <tr> <td>10. <input type="checkbox"/> English Translation Document (if applicable)</td> <td></td> </tr> <tr> <td>11. <input type="checkbox"/> Information Disclosure Statement (IDS) &amp; <input type="checkbox"/> PTO-1449</td> <td><input type="checkbox"/> ___ Copies of IDS Citations/References</td> </tr> <tr> <td>12. <input type="checkbox"/> Preliminary Amendment ___ pages</td> <td></td> </tr> <tr> <td>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)                      (should be specifically itemized)</td> <td></td> </tr> <tr> <td>14. Small Entity Status</td> <td></td> </tr> <tr> <td>    <input type="checkbox"/> Small Entity Statement Enclosed ___ pages</td> <td></td> </tr> <tr> <td>    <input checked="" type="checkbox"/> Statement filed in prior application; and                      status still proper and desired</td> <td></td> </tr> <tr> <td>    <input type="checkbox"/> Is no longer claimed.</td> <td></td> </tr> <tr> <td>15. <input type="checkbox"/> Certified Copy of Priority Document(s)                      (if foreign priority is claimed)</td> <td></td> </tr> <tr> <td>16. <input type="checkbox"/> Other:</td> <td></td> </tr> <tr> <td>    <input type="checkbox"/> Copy of Petition for Extension of Time filed in parent appln.;</td> <td></td> </tr> <tr> <td>    <input type="checkbox"/></td> <td></td> </tr> </table>	<b>ACCOMPANYING APPLICATION PARTS</b>		8. <input type="checkbox"/> Assignment Papers (cover sheet & documents) ___ pages		9. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (combined when there is an with Patent Declaration Assignee) above.)		10. <input type="checkbox"/> English Translation Document (if applicable)		11. <input type="checkbox"/> Information Disclosure Statement (IDS) & <input type="checkbox"/> PTO-1449	<input type="checkbox"/> ___ Copies of IDS Citations/References	12. <input type="checkbox"/> Preliminary Amendment ___ pages		13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)		14. 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17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:  
☒ Continuation In Part ☐ Divisional of prior application No. 09/501,881  
 Filed on February 10, 2000, entitled: Multi-Port Fibre Channel Controller  
 PRIOR APPLICATION INFORMATION: Examiner: Unknown Group Art Unit: 2782

<b>18. CORRESPONDENCE ADDRESS</b>					
<input type="checkbox"/> Customer Number or Bar Code Label			or <input checked="" type="checkbox"/> Correspondence address below		
Name	Samuel G. Campbell III			Reg. No. 42,381	
Attorneys for Applicant	Skjerven Morrill MacPherson LLP				
Address	25 Metro Drive, Suite 700				
City	San Jose	State	CA	Zip Code	95110
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**19. Fee calculations.**

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	0	x	\$18	=	\$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$80	=	\$ 0.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))					+	\$260.00	=
	BASIC FEE (37 CFR 1.16(a))						=	\$ 710.00
	Total of above Calculations						=	\$ 710.00
	Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).						=	\$ 355.00
	TOTAL						=	\$ 355.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☐ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. ☐ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: \_\_\_\_\_

**NOTE:**

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

**22. NEW CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label

☐ New correspondence address below

NAME					
ADDRESS					
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE	FAX			

**23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Skjerven Morrill MacPherson LLP 25 Metro Drive, Suite 700 San Jose, CA 95110 Tel. (408) 453-9200 Fax. (408) 453-7979	
Date:	July 12, 2001
Name	Samuel G. Campbell III Reg. No. 42,381
Signature	<i>Samuel G. Campbell III</i> (40,008)
Express Mail Label No.	EL 701 019 617 US